



Measured Performance - Improved Security

Global Security Verification Report

Indesore Sweater Ltd.

Registration Number	: A26335-252056
Company	: Indesore Sweater Ltd.
Date of Verification	: 15-Jul-2019
Auditor(s) Name	: Shibshankar Dey

Overall Rating

Participating Facilities : 19400

Low Risk Priority (86 – 100)

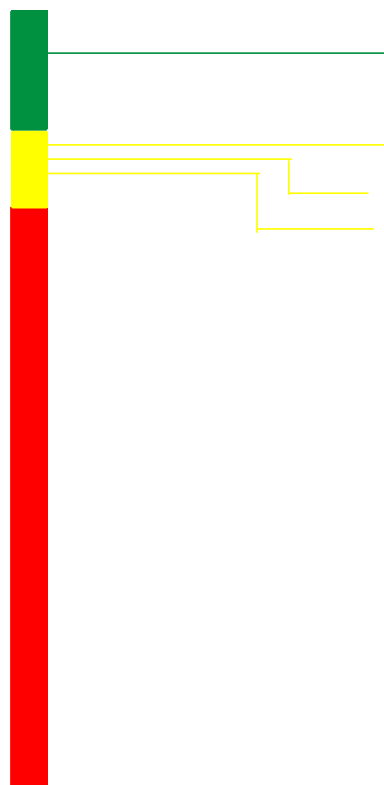
Meet Expectations

Medium Risk Priority (76 – 85)

Further Improvement Needed

High Risk Priority (0 – 75)

Urgent Action Required



Facility Score **95**

Industry Score **82**

Global Score **80**

Country Score **78**

Intertek's Global Security Verification (GSV) program integrates multiple global supply-chain security initiatives, including C-TPAT (Customs Trade Partnership Against Terrorism), PIP (Partners in Protection) and AEO (Authorized Economic Operators).

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COMPANY PROFILE

COMPANY INFORMATION

Company name:	Indesore Sweater Ltd.	Postal Code:	1704
Contact name:	Mrs. Aysha Siddika- DGM (HR & Compliance)	Country:	Bangladesh
Address 1:	Degerchala, National University, Gazipur Sadar, Gazipur	Phone:	+8801711262000
Address 2:		Fax:	+88029293780
City:	Gazipur	Email:	aysha@indesore.com
State:	Dhaka	Website:	www.indesore.com

NUMBER OF EMPLOYEES

Permanent:	2648
Temporary:	0
Overseas / Migrant:	0
Total:	2648

BUSINESS OVERVIEW

Industry:	Apparel
Key / Main product:	All kinds of sweater item
Country of operation:	Bangladesh
Participation in security initiatives:	Yes
Description of security initiative:	ISO-b, BSCI

FACILITY STRUCTURE

Number of buildings	4	Facility land size:	56087Ft. Sq.
Distribution:	0	Total facility floor size:	286476Ft. Sq.
Production:	1	Warehouse customs bonded:	YES
Warehouse:	0	Free trade zone:	NO
Container yards:	0		
Other:	3		

Logistics/Transportation for shipments to US

Trucks owned By Company:	No
Percent of goods exported to US	
By air:	0%
By sea:	100%
By rail:	0%
By truck:	0%

Description of "other" buildings: Other buildings description provided in building description portion.

Export Logistics

Facility responsible for the relationships with the following type of logistics :

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Air :	Sometimes	Consolidators:	Never
Sea :	Always	Freight forwarders:	Always
Rail :	Never	NVOCC:	Never
Land Carriers:	Always	Other 3rd party logistics providers:	Never

GENERAL OVERVIEW

Brief Description of the Facility:

Indesore Sweater Ltd. is located at Degerchala, National University, Gazipur Sadar, Gazipur. Operation of the audited facility started in 2008. The total employee of the facility is 2648 including 208 non-production and 06 management employees. Currently, the facility's production capacity is 425,000 pieces per month. Facility has peak season started from April to November. Facility produces all kinds of sweater items. Main production processes are Winding, Knitting, Linking, Trimming, Mending, Sewing, Washing, Iron, PQC & Packing. Facility has one general working shift (8 am to 8 pm) for all section except knitting section including one-hour break. For knitting section there are two shifts which starts from 8 am to 8 pm and 8pm to 8 am. The facility is open Saturday to Thursday in a week. Friday is weekly holiday for the employees. Facility has one production building and other three buildings in their premises. Detail description of buildings are- Building 01: Basement – Generator, Sub-Station, Hydrant Pump Room; Ground Floor-Washing, Bonded warehouse, Chemical room, Medical Room, Child Care Room; 1st Floor- Trimming, Mending, Sewing, Ironing, PQC, Packing; 2nd Floor- Linking, Winding; 3rd Floor- Trimming, Mending, Sewing, Ironing, PQC, Packing; 4th Floor- Finished Goods Warehouse, Yarn Store, Sample Section, Accessories store; 5th Floor- Office, Jacquard Section; 6th Floor- Jacquard Section; 7th Floor- Jacquard Section; 8th Floor- Dining, Canteen, Prayer Room Building 02: Security post; Building 03: RMS room and Building 04: ETP area. Facility has 138 CCTV cameras in different location of the facility. Facility has 25 security guards of their own employee. Facility loading/unloading area was found secured by CCTV and security monitoring. The facility management showed a positive attitude to this audit during the whole audit process. Facility management was cooperative and agreed with the issues raised during the audit. After the closing meeting the onsite report was signed by the facility management with full agreement and thanked auditor for helping them for their improvement.

Brief Description of Loading Process for Shipment:

Facility conduct 07 point inspection for the empty containers arrived at the facility for shipment. After the confirmation from security supervisor and transport security responsible person the container is sent to the loading point with fencing. As per facility management comments authorized employees (loaders) load the containers (as per loading plan) by finished goods (with proper count & weight measurement system), pictures of the authorized employee at loading/ unloading area found posted to restrict unauthorized entry.

Brief Description of Sealing Process:

Facility has a designated assigned employee to handle high security seals. After the completion of container loading (as per loading plan) responsible person assigned from store seal the containers in front of security supervisor and keep record of the seal number for tracking and inventory. Documentation record for the seals used in shipment found for six months.

Brief description of direct shipments to port process:	Yes, Shipment from facility goes directly to Chittagong port. In port authority receive the covered van after checking the seal.
In-country transport services detail:	Yes, Facility has agreement on transport service with "Spread Cargo Services".
The companies used vary routes:	No, Two routes are used.
The companies used employ security guards:	No,
The companies used provide vehicle escort:	Yes,
The companies used Global Positioning Satellite (GPS):	No,
The companies used truck convoys:	No,
Required transit time between audited facility to the port/the next supply chain:	Approximately 7 to 8 hours is the transit time in between the audited facility and port.
CCTV details:	Yes, 138 CCTV cameras
Security guard force details:	Yes, 25 Own

I. NUMBER OF NON-COMPLIANCES NOTED IN EACH CATEGORY

The C-TPAT Security Criteria and Security Guidelines indicate that there are must and should requirements. "Must" means that it is a requirement of the program participation. "Should" means that the procedure is considered to be an industry "best practice" however as the program matures, more "shoulds" will become "musts."

Category Name	Score	Must Do	Should Do	Best Practice
Records & Documentation	100	0	0	0
Personnel Security	87	2	7	8
Physical Security	97	1	2	6
Information Access Controls	90	3	0	0
Shipment Information Controls	100	0	0	0
Storage & Distribution	100	0	0	0
Contractor Controls	100	0	0	3
Export Logistics	100	0	0	1
OVERALL	95	6	9	18

II. FACILITY SCORE CARD

Security Sections	Section/Subsection Score (0-100)
Records & Documentation	100
Personnel Security	87
◆ Documented Personnel Security Policies/Procedures	100
◆ Personnel Screening	77
◆ Identification System	100
◆ Education/Training/Awareness	78
Physical Security	97
◆ Plant Security	94
◆ Perimeter Security	100
◆ Outside Lighting	100
◆ Container Storage	NA
◆ Security Force	100
◆ Access Controls	94
◆ Visitor Controls	91
◆ Entering/Exiting Deliveries	100
◆ Employee/Visitor Parking	100
◆ Production, Assembly, Packing Security	100
Information Access Controls	90
Shipment Information Controls	100
Storage & Distribution	100
◆ Storage	100
◆ Loading for Shipment	100
Contractor Controls	100
Export Logistics	100
OVERALL SCORE	95

III. RISK ASSESSMENT

Criteria:	Compliant/Non-Compliant
The facility does not have a risk assessment program to analyze and identify critical areas of its supply chain that is the most likely targets for infiltration.	Non-Compliant
The facility does not use computer software risk-based assessment tool.	Non-Compliant
The facility does not have written processes for the selection of their business partners to include a detailed risk assessment.	Non-Compliant
The facility does not have a comprehensive risk assessment covering their own facility.	Non-Compliant
The facility does not have a comprehensive risk assessment covering point of packing and stuffing.	Non-Compliant
The facility does not have comprehensive risk assessment covering contractors.	Non-Compliant
The facility does not have a comprehensive risk assessment covering export logistics and at each transportation link within the chain.	Non-Compliant
The facility does not conduct a comprehensive risk assessment annually.	Non-Compliant
The facility has not adopted the 5 Step Risk Assessment Process Guide in conducting security risk assessment of their supply chain(s).	Non-Compliant

IV. OPPORTUNITIES FOR IMPROVEMENTS

The following sections includes all exceptions noted during the on-site audit. Each exception is color coded to indicate the severity as indicated in the C-TPAT criteria for foreign manufacturers.

- Must Do
 ● Should Do
 ● Best Practices
 ● Informative

100% Section: Records & Documentation

No exceptions noted

87% Section: Personnel Security

SubSection: Documented Personnel Security Policies / Procedures

No exceptions noted

SubSection: Personnel Screening

International Supply Chain Security Requirements & Criteria	
A process must be in place to screen prospective employees and to periodically check current employees / Application information, such as employment history and references, must be verified prior to employment / Consistent with national regulations, background checks and investigations should be conducted for prospective employees	
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A process must be in place to screen prospective employees and to periodically check current employees / Consistent with national regulations, background checks and investigations should be conducted for prospective employees	
Exceptions Noted:	Global Freq. of Compliance %
● Employment history checks are not conducted	50 %
● Reference checks are not conducted	42 %
● Background checks are not conducted on all applicants.	72 %
Based on personal file review and management interview it was noted that facility did not conduct background checks to all applicants.	

SubSection: Identification System

Exceptions Noted:	Global Freq. of Compliance %
No exceptions noted	

SubSection: Education / Training / Awareness

International Supply Chain Security Requirements & Criteria

Procedures must be in place for reporting and neutralizing unauthorized entry into containers or container storage areas / Procedures must be in place to identify, challenge, and address unauthorized/unidentified persons / Employees must be made aware of the procedures the company has in place to address a situation and how to report it / Additional training should be provided to employees in the shipping and receiving areas, as well as those receiving and opening mail / Specific training should be offered to assist employees in maintaining cargo integrity, recognizing internal conspiracies, and protecting access controls / Specific training should be offered to assist employees in maintaining cargo integrity, recognizing internal conspiracies, and protecting access controls

Employees must be made aware of the procedures the company has in place to address a situation and how to report it / Additional training should be provided to employees in the shipping and receiving areas, as well as those receiving and opening mail / Specific training should be offered to assist employees in maintaining cargo integrity, recognizing internal conspiracies, and protecting access controls

Written procedures must stipulate how seals are controlled and affixed to loaded containers, including recognizing and reporting compromised seals and/or containers to local Customs authorities / Employees must be made aware of the procedures the company has in place to address a situation and how to report it / Additional training should be provided to employees in the shipping and receiving areas, as well as those receiving and opening mail / Specific training should be offered to assist employees in maintaining cargo integrity, recognizing internal conspiracies, and protecting access controls / Specific training should be offered to assist employees in maintaining cargo integrity, recognizing internal conspiracies, and protecting access controls

IT security policies, procedures and standards must be in place and provided to employees in the form of training / Employees must be made aware of the procedures the company has in place to address a situation and how to report it / Additional training should be provided to employees in the shipping and receiving areas, as well as those receiving and opening mail / Specific training should be offered to assist employees in maintaining cargo integrity, recognizing internal conspiracies, and protecting access controls / Specific training should be offered to assist employees in maintaining cargo integrity, recognizing internal conspiracies, and protecting access controls

Exceptions Noted:	Global Freq. of Compliance %
<ul style="list-style-type: none"> New employee orientation does not include challenging and reporting unidentified persons to security or management personnel <p><i>Based on management interview and documents review it was noted that the facility did not conduct new employee orientation training on Challenging and reporting unidentified persons</i></p>	81 %
<ul style="list-style-type: none"> New employee orientation does not include recognizing internal conspiracies <p><i>Based on management interview and documents review it was noted that the facility did not conduct new employee orientation training on recognizing internal Conspiracy.</i></p>	73 %
<ul style="list-style-type: none"> New employee orientation does not include detecting unlawful activity <p><i>Based on management interview and documents review it was noted that the facility did not conduct new employee orientation training on detecting unlawful activity.</i></p>	77 %
<ul style="list-style-type: none"> New employee orientation does not include maintaining cargo integrity <p><i>Based on management interview and documents review it was noted that the facility did not conduct new employee orientation training on maintaining cargo integrity.</i></p>	76 %
<ul style="list-style-type: none"> New employee orientation does not include computer security <p><i>Based on management interview and documents review it was noted that the facility did not conduct new employee orientation training on Computer security.</i></p>	67 %
<ul style="list-style-type: none"> New employee orientation does not include recognizing and detecting dangerous substances and devices <p><i>Based on site tour, management interview and documents review it was noted facility has no system on recognizing and detecting dangerous substances and devices.</i></p>	72 %

97% Section: Physical Security

SubSection: Plant Security

International Supply Chain Security Requirements & Criteria

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High Risk Priority (0-75%)

Medium Risk Priority(76-85%)

Low Risk Priority(86-100%)

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Alarm systems and video surveillance cameras should be utilized to monitor premises and prevent unauthorized access to cargo handling/storage areas

Exceptions Noted:	Global Freq. of Compliance %
<ul style="list-style-type: none"> The facility does not have an intrusion detection or an alarm system <p><i>Based on site tour and management interview it was noted that facility has no automatic intrusion detection or alarm system installed in sensitive/controlled access areas.</i></p>	34 %

SubSection: Perimeter Security

No exceptions noted

SubSection: Outside Lighting

No exceptions noted

SubSection: Security Force

No exceptions noted

SubSection: Access Controls

International Supply Chain Security Requirements & Criteria	
Alarm systems and video surveillance cameras should be utilized to monitor premises and prevent unauthorized access to cargo handling and storage areas.	
Exceptions Noted:	Global Freq. of Compliance %
<ul style="list-style-type: none"> The facility does not use Closed Circuit Television (CCTV) or another surveillance method to monitor activity in all sensitive areas within the facility. <p><i>Based on facility visit it was noted that no CCTV coverage was found for 02 out of 02 packing area which is located at 1st floor & 3rd floor of building 01.</i></p>	78 %

SubSection: Visitor Controls

International Supply Chain Security Requirements & Criteria	
Access controls must include the positive identification of all employees, visitors, and vendors at all entry points	
Exceptions Noted:	Global Freq. of Compliance %
<ul style="list-style-type: none"> A visitor's log which records entries and exits is not maintained <p><i>Based on facility visit and document review it was noted that facility did not maintain security escort name in visitors log register.</i></p>	77 %

SubSection: Entering / Exiting Deliveries

No exceptions noted

SubSection: Employee / Visitor Parking

No exceptions noted

SubSection: Production, Assembly, Packing Security

No exceptions noted

90% Section: Information Access Controls

International Supply Chain Security Requirements & Criteria	
Procedures must be in place to ensure that all information used in clearing merchandise/cargo is legible, complete, accurate, and protected against the exchange, loss or introduction of erroneous information. Documentation control must include safeguarding computer access and information / A system must be in place to identify the abuse of IT, including improper access, tampering or the altering of business data	
A system must be in place to identify the abuse of IT, including improper access, tampering or the altering of business data	
A system must be in place to identify the abuse of IT, including improper access, tampering or the altering of business data / All system violators must be subject to appropriate disciplinary actions for abuse	
Exceptions Noted:	Global Freq. of Compliance %
<ul style="list-style-type: none"> There is no system in place to review periodically and maintain daily security logs for invalid password attempts and file access <p><i>Based on facility tour and facility management interview it was noted that facility administrator does not receive and review report of invalid password attempts and file access.</i></p>	33 %
<ul style="list-style-type: none"> There is no system in place to identify IT abuses including improper access, tampering, or the altering of business data <p><i>Based on facility tour and facility management interview it was noted that facility has no system in place to identify IT abuses including improper access, tampering, or the altering of business data.</i></p>	62 %
<ul style="list-style-type: none"> There are no documented procedures in place for investigating violation and disciplining as appropriate IT system violators <p><i>Based on facility IT system responsible person interview it was noted that system administrator did not investigate reported incidents of attempted unauthorized system access or breach.</i></p>	73 %

100% Section: Shipment Information Controls

No exceptions noted

100% Section: Storage & Distribution

SubSection: Storage

No exceptions noted

SubSection: Loading for Shipment

No exceptions noted

100% Section: Contractor Controls

No exceptions noted

100% Section: Export Logistics

No exceptions noted

NS Section: Transparency In Supply Chain

■ Not Scored

Exceptions Noted:	Global Freq. of Compliance %
<ul style="list-style-type: none"> There is no documented system in place to ensure that management is informed of and investigates all anomalies found in shipments including human trafficking. <p><i>Based on documents review and management interview it was noted that the facility has no documented system in place to ensure that management is informed of and investigates all anomalies found in shipments including human trafficking.</i></p>	53 %
<ul style="list-style-type: none"> There is no documented cargo verification procedure in place to prevent unmanifested cargo and/or illegal aliens from being loaded. <p><i>Based on documents review and management interview it was noted that the facility has no documented cargo verification procedure in place to prevent unmanifested cargo and/or illegal aliens from being loaded.</i></p>	66 %
<ul style="list-style-type: none"> The facility does not conduct on-site inspections of the contractors' implementation of the their security standards/procedures that includes compliance with human trafficking and slavery, forced labor and child labor policies. <p><i>Based on documents review and management interview it was noted that the facility has no own or a third party auditor conduct on-site inspections of the contractors' implementation of their security standards/procedures including compliance with human trafficking and slavery policies.</i></p>	20 %
<ul style="list-style-type: none"> The facility does not require its contractors to conduct self-assessment of their security policies and procedures including status of their compliance with human trafficking and slavery policies and share the results of those assessments with the facility. <p><i>Based on documents review and management interview it was noted that the facility does not require its contractors to conduct self-assessment of their security policies and procedures including status of their compliance with human trafficking and slavery policies and share the results of those assessments with the facility.</i></p>	18 %
<ul style="list-style-type: none"> The facility does not have written or electronic confirmation of its partners' compliance with Business Transparency on Human Trafficking and Slavery Act and Forced Labor and Child Labor Policies (e.g., contract language, a letter of commitment signed at the management level or above, signed acknowledgement of receiving the facility's participation announcement). <p><i>Based on documents review and management interview it was noted that the facility have no written or electronic confirmation of its partners' compliance with Business Transparency on Human Trafficking and Slavery Act.</i></p>	21 %
<ul style="list-style-type: none"> The facility does not have written security standards and documented procedures for selection of its contractors (contracts, manuals, etc.) and handling contractors failing to meet company standards regarding security and slavery and trafficking and forced and child labor. <p><i>Based on documents review and management interview it was noted that the facility has no written security standards and documented procedures for selection of its contractors (contracts, manuals, etc.) and handling contractors failing to meet company standards regarding security and slavery and trafficking.</i></p>	25 %
<ul style="list-style-type: none"> There is no written security awareness program covering awareness of current terrorist threat(s), human trafficking, smuggling trends, and seizures in place to ensure employees understand the threat posed by terrorist at each point of the supply chain. 	28 %

<i>Based on documents review and management interview it was noted that the facility has no written security awareness program covering awareness of current terrorist threat(s), human trafficking, smuggling trends, and seizures in place to ensure employees understand the threat posed by terrorist at each point of the supply chain.</i>	
Strengths Noted:	Global Freq. of Compliance %
<ul style="list-style-type: none"> There is a documented system in place to ensure that to ensure that facility is not sourcing goods, wares, articles, and merchandise mined, produced, or manufactured wholly or in part in any foreign country by convict labor or/and forced labor or/and indentured labor under penal sanctions and child labor. 	58 %

NS Section: Risk Assessment

■ Not Scored

Exceptions Noted:	Global Freq. of Compliance %
<ul style="list-style-type: none"> The facility does not have a risk assessment program to analyze and identify critical areas of its supply chain that is the most likely targets for infiltration. 	18 %
<ul style="list-style-type: none"> The facility does not use computer software risk-based assessment tool. 	8 %
<i>Based on the facility management interview it was noted that the facility did not use computer software risk based assessment tool.</i>	
<ul style="list-style-type: none"> The facility does not have written processes for the selection of their business partners to include a detailed risk assessment. 	16 %
<i>Based on the facility document review and management interview it was noted that the facility did not have a written process for the selection of their business partners to include a detailed risk assessment.</i>	
<ul style="list-style-type: none"> The facility does not conduct a comprehensive risk assessment annually. 	18 %
<i>Based on documents review and management interview that facility did not not conducts comprehensive risk assessment annually.</i>	
<ul style="list-style-type: none"> The facility has not adopted the 5 Step Risk Assessment Process Guide in conducting security risk assessment of their supply chain(s). 	10 %
<i>Based on the facility security policy review and management interview it was noted that the facility did not adopt "5 Step Risk Assessment Process Guide" in conducting a security risk assessment of their supply chain(s).</i>	
<ul style="list-style-type: none"> The facility does not have a comprehensive risk assessment covering their own facility. 	24 %
<i>Based on the facility security policy review and management interview it was noted that facility did not conduct a comprehensive risk assessment covering their own facility.</i>	
<ul style="list-style-type: none"> The facility does not have a comprehensive risk assessment covering point of packing and stuffing. 	22 %
<i>Based on the facility security policy review and management interview it was noted that the facility did not conduct a comprehensive risk assessment covering point of packing/ stuffing.</i>	
<ul style="list-style-type: none"> The facility does not have comprehensive risk assessment covering contractors. 	17 %
<i>Based on the facility security policy review and management interview it was noted that the facility did not conduct a comprehensive risk assessment covering contractors.</i>	
<ul style="list-style-type: none"> The facility does not have a comprehensive risk assessment covering export logistics and at each transportation link within the chain. 	17 %
<i>Based on the facility security policy review and management interview it was noted that the facility did not conduct a comprehensive risk assessment covering export logistics and at each transportation link within the chain.</i>	

V. BEST PRACTICES AND RECOMMENDED BEST PRACTICES

CBP describes **Best practices** as innovative security measures that exceed the C-TPAT minimum security criteria and industry standards.

The following are a list of best practices this supplier has implemented.

Existing Best Practices:

Section: Personnel Security
◆ Personnel are encouraged to report irregularities through Suggestion Box
◆ Personnel are encouraged to report irregularities through Phone Number/Hotline
◆ The facility has a process in place to publicize the security procedures throughout the facility (i.e. posters, bulletin boards, etc)
◆ A security awareness assessment is given annually to a random sample of employees to gauge their understanding of the company's general security policy.
◆ Photos of authorized employees to access the restricted areas are posted in the work area to detect any unauthorized entry/access.
Section: Physical Security
◆ Violations to the alarm system are reported
◆ The lighting system has an emergency power source/generator
◆ Access to the lighting switches is restricted to only authorized personnel.
◆ Gates are monitored during operating hours by guards at the gate
◆ Gates are monitored during operating hours by patrolling guards
◆ Gates are monitored during operating hours by CCTV
◆ Gates are monitored by guards during non-operating hours
◆ Gates are monitored by patrolling guards during non-operating hours
◆ Gates are monitored by cameras during non-operating hours
◆ Vendors are required advance information before visiting the facility premises
◆ The facility maintains an up-to-date list of names and addresses of all contractor (e.g., canteen staff), vendor, repair personnel.
◆ Visitors are subject to metal detector and/or scanner screening.
◆ For conveyance entries/exits, logs are maintained with name of the guard
◆ Parking lots for visitors and employees are separated
◆ Vehicles are prohibited/prevented from parking near perimeter fencing.
◆ Visitor and employee personal vehicle parking lots are monitored by security guards during facility operating hours.
◆ The facility requires the use of visual identification for visitor parking.
◆ The company requires the use of visual identification for employee parking
◆ The facility has digital cameras as part of surveillance.
◆ The facility has motion activated cameras as part of surveillance.
◆ Advance notice is required for a pick-up or delivery transport company.
◆ The gate security or a designated facility manager performs truck outbound inspection and the results are recorded in an Outbound Vehicle Log.

- ◆ The facility have an automatic intrusion detection or alarm system installed in those areas of the perimeter of the facility that are inaccessible to security patrols.
- ◆ The intrusion alarm system is equipped with audible alarm that provides alert to gate security or central security command center.
- ◆ Guards receive specific training in General Security.
- ◆ Guards receive specific training in Site-Specific.
- ◆ Guards receive specific training in C-TPAT.
- ◆ The emergency contact numbers are posted in the security posts and command center.
- ◆ All visitors given are safety and security pamphlet and/or visitors ID which lists general company safety and security rules that need to be followed while on the premises.
- ◆ Corrective actions are taken when missing visitor badges are identified.
- ◆ A log is maintained with Driver's license number.
- ◆ Pilfer or tamper proof packaging and tapes are utilized to secure the goods.

Section: Information Access Controls

- ◆ Facility implemented into its network system an intrusion warning system and/or virus protection
- ◆ The facility conducts review of access rights to safeguard electronic business data.
- ◆ The facility provides different level of access rights to employees according to their roles.
- ◆ Employees are required to sign a Non-Disclosure Agreement (NDA) to secure and protect Company's information.
- ◆ Access to the data is protected from being copied, altered, tampered or deleted.
- ◆ Each office workstation contains a photo of the employee who is assigned to that work area to detect any unauthorized computer terminal usage.
- ◆ The facility limits use of VPN to access company's network directory.
- ◆ Security warning message is displayed when guest are given access to company's internet access.
- ◆ USB ports and external drives are disabled/sealed to prohibit copying of company information.
- ◆ Visitors are required to declare all electronic equipment entered in the facility.

Section: Shipment Information Controls

- ◆ Information requirements for shipments are automated
- ◆ Facility conducts a review of shipment information and documentation controls to verify accuracy and security at least every six months
- ◆ The facility have electronic access control system to secure sensitive trade documents.

Section: Storage & Distribution

- ◆ The facility keeps records of seal numbers together with the name of person using the seal and date of use of seal.
- ◆ The facility's external shipping door is assembled in such a way that opening the door requires a person outside and another person inside to open it.

Section: Contractor Controls

- ◆ The facility require assigning an ID badge to a contractor's employee that enters the premises.
- ◆ The facility implements a corrective action process for non-compliance found during on-site inspections of the contractors.
- ◆ The facility terminates service with non-compliant contractors.
- ◆ The facility require its contractors to participate in security awareness training.
- ◆ The facility provides periodic security awareness training to all of the contractors.
- ◆ The facility communicates its security policies to its contractors.

Section: Export Logistics

- ◆ The facility has a procedure for in-country carriers to report security violations to facility's management
- ◆ The facility's written agreement with their transport company indicate preferred transit route(s) used by the driver, the allowable transit time limit, designated rest/meal stop locations and a process for a driver to report a container or trailer security issue.
- ◆ The facility conduct a security review of their transport company to ensure compliance with the contract.
- ◆ Cargo trucks are monitored and checked every hour.
- ◆ There is a written procedure in place to identify/inquire if trucks are late for their scheduled appointment.
- ◆ The facility utilizes a progress control system to monitor the status of up its cargo delivery (including contracted in-country transport).

Recommended Best Practices:

Section: Personnel Security

- ◆ IDs should specify access for loading/unloading/packing dock areas by Numeric coding
- ◆ IDs should specify access for loading/unloading/packing dock areas by Map coding
- ◆ IDs should specify access for loading/unloading/packing dock areas by electronic coding
- ◆ The facility should provide online training portal.
- ◆ The facility should provide training in local language or language understood by employees of different origin.
- ◆ The facility should provide Certificate of Completion and/or similar recognition to all employees who attended the training.
- ◆ The facility should perform emergency response mock drills.
- ◆ Personnel should be encouraged to report irregularities through Email Hotline

Section: Physical Security

- ◆ The facility should have a back-up power source for the alarm system
- ◆ The alarm system or intrusion detection system should be tested regularly.
- ◆ Lights should be illuminated automatically.
- ◆ Gates should be monitored during operating hours by motion detectors
- ◆ Gates should be monitored during non-operating hours by motion detectors
- ◆ Visitors should be subject to X-ray of their personal belongings.

Section: Contractor Controls

- ◆ The facility should communicate the company's security policies through publication.
- ◆ The facility should communicate the company's security policies through internet websites (homepage contains information and/or link of C-TPAT and other security standards).
- ◆ The facility should communicate the company's security policies through: electronic advertisement.

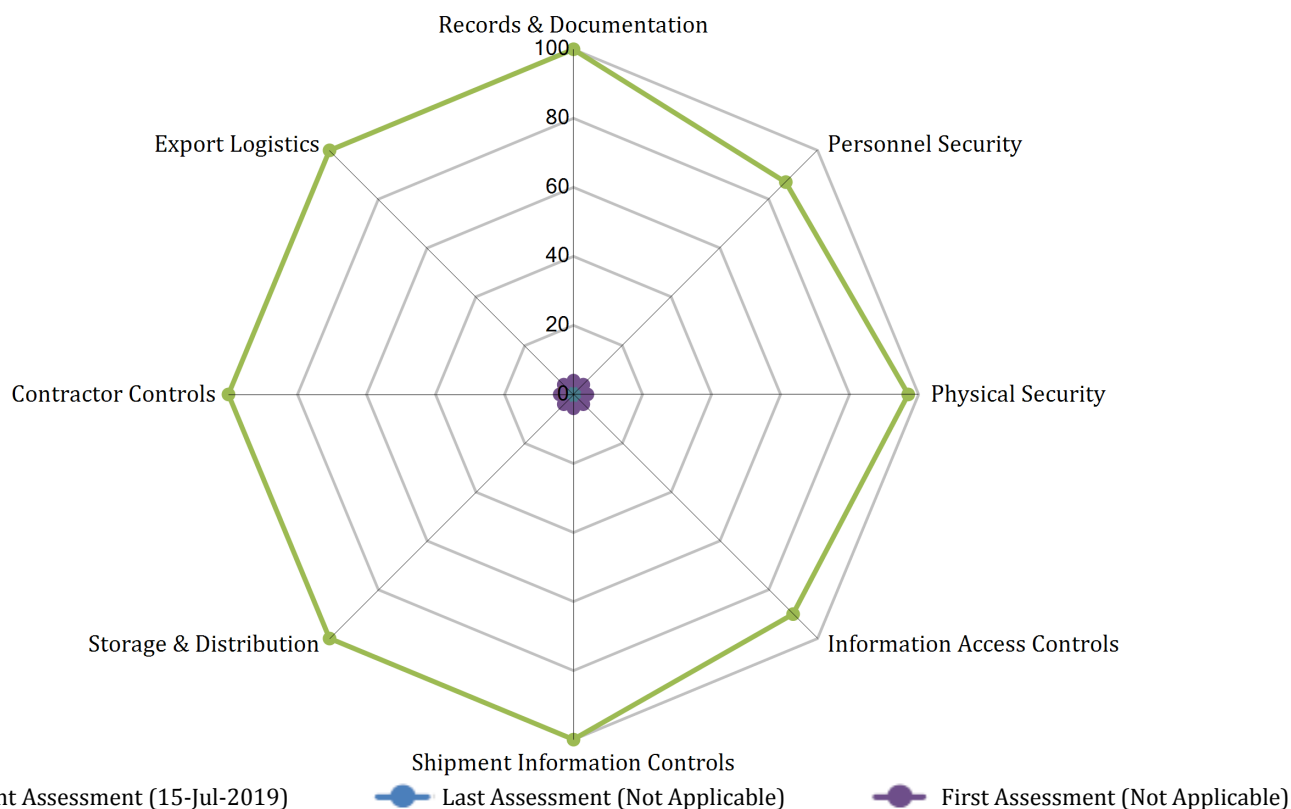
Section: Export Logistics

- ◆ The carrier should have intermediate staging/rest period/layover of cargo conveyances prior to reaching the consolidation center/port/border

VI. PERFORMANCE TREND ANALYSIS

Why Performance Trend Analysis Matter?

Investors in the international supply chain look closely at trends to make a judgment about the current and future direction of a businesspartners performance. It is often easier to determine how best to support the development and implementation of measures for enhancing business performance, by looking at a chart of performance trends over a period of time, in relation to the performance traits of similar such enterprises operating within like industries. Mutual understanding of cause and effect is an important first step toward achieving a shared objective of continuously enhancing performance and building stronger business partner relationships.



Section Name	Current	Last	First	Change (Current-Last)	Change (Current-First)
Records & Documentation	100	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Personnel Security	87	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Physical Security	97	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Information Access Controls	90	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Shipment Information Controls	100	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Storage & Distribution	100	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Contractor Controls	100	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Export Logistics	100	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Overall Score	95	Not Applicable	Not Applicable	Not Applicable	Not Applicable

▲ Advancers ■ Constant ▼ Decliner

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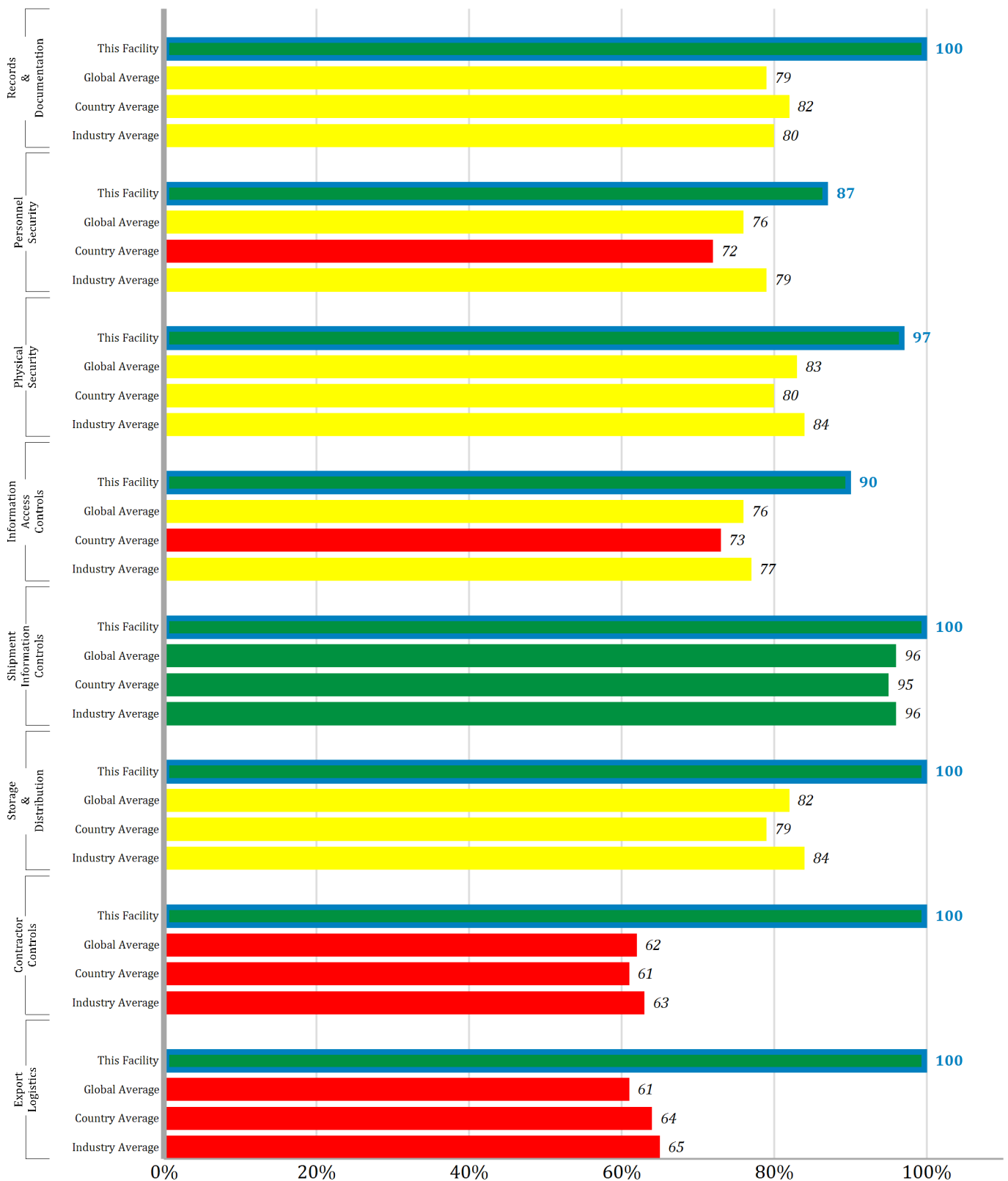
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VII. KEY STRENGTHS AND CHALLENGES

Criteria	Facility Strengths: Facility performance ranks in the top percentile of the population and/or has implemented a best practice process	Global Freq. of Compliance%
Must Do	The facility requires eligible carriers to demonstrate C-TPAT, AEO, PIP certification and/or ineligible carriers to demonstrate compliance with C-TPAT, AEO, PIP equivalent standards	28%
Must Do	Facility conducts periodic unannounced security check to ensure that transport company is in compliance with the contract.	31%
Should Do	The facility requires its contractors to conduct self-assessment of their security policies and procedures and share the results of those assessments with the facility	35%
Should Do	Where restricted areas exist, guards check employees ID to monitor access to these areas	38%
Must Do	IDs specify access for loading/unloading/packing dock areas by color coding	40%
Must Do	There is a system in place to suspend a log in user ID after three failed access attempts	41%
Should Do	Guards or security personnel with no other assignments monitor CCTVs.	42%
Must Do	The loading and departure of containers/trailers is captured on CCTV providing adequate views of loading activities and inside container and/or the recording is kept for 30 to 45 days (applicable to trucks and closed vans).	43%
Should Do	The facility keeps recordings (e.g., tapes or electronic files) for a minimum of 30 days or according to client specific requirement.	46%
Must Do	When selecting the contractors used by the company, the company considers the contractor's hiring practices	46%

Criteria	Facility Challenges: Facility performance ranks in the bottom percentile of the population	Global Freq. of Compliance%
Should Do	New employee orientation does not include challenging and reporting unidentified persons to security or management personnel	81%
Should Do	The facility does not use Closed Circuit Television (CCTV) or another surveillance method to monitor activity in all sensitive areas within the facility.	78%
Must Do	A visitor's log which records entries and exits is not maintained	77%
Must Do	There are no documented procedures in place for investigating violation and disciplining as appropriate IT system violators	73%
Must Do	Background checks are not conducted on all applicants.	72%
Should Do	New employee orientation does not include computer security	67%
Informative	There is no documented cargo verification procedure in place to prevent unmanifested cargo and/or illegal aliens from being loaded.	66%
Informative	There is no documented system in place to ensure that management is informed of and investigates all anomalies found in shipments including human trafficking.	53%
Should Do	Employment history checks are not conducted	50%
Must Do	Reference checks are not conducted	42%

VIII. COMPARISON BENCHMARK



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High Risk Priority (0-75%)

Medium Risk Priority(76-85%)

Low Risk Priority(86-100%)

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FACILITY PHOTOS FOR INDESORE SWEATER LTD.

1.Facility Photo



2.Facility Entrance



3.Perimeter Fencing



4.Facility Building



5.Employee Parking



6.Visitor Parking



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7.Outside Lighting



8.Security Room- Communication Equipment



9.CCTV system and monitor



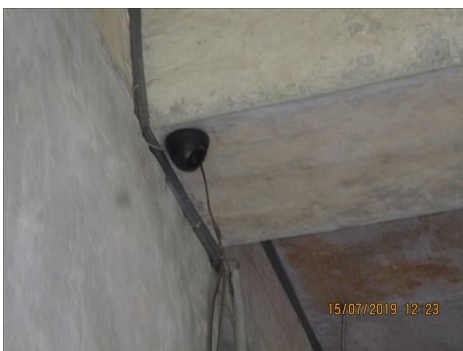
10.Packing Area



11.Finished Goods Warehouse



12.Loading Area



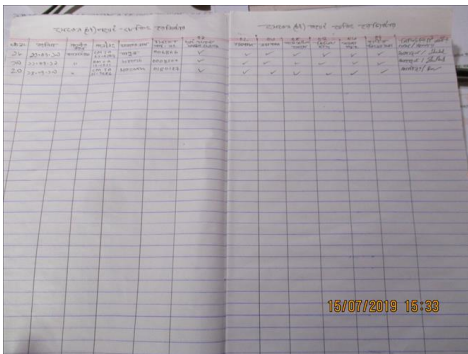


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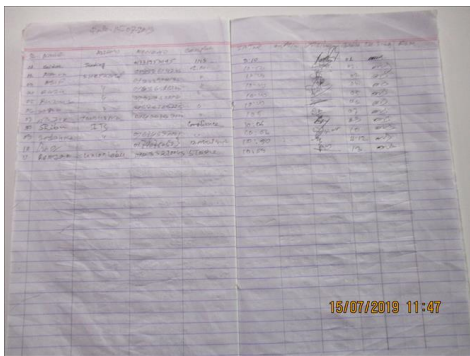
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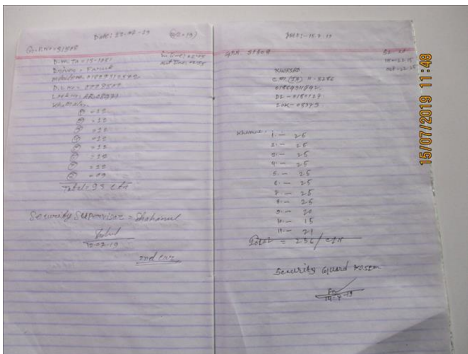
15.Container Manifest and Inspection Record



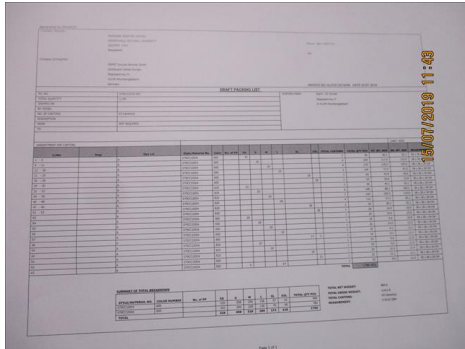
16.Visitor's ID Badge



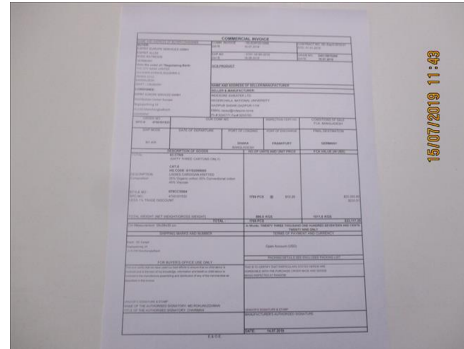
17.Shipping Logs



18.Shipping Documents



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